RUHR-UNIVERSITÄT BOCHUM INESC-ID Lisboa





# **CHiME Challenge:**

Approaches to Robustness using Beamforming and Uncertainty-of-Observation Techniques

Dorothea Kolossa <sup>1</sup>, Ramón Fernandez Astudillo <sup>2</sup>, Alberto Abad <sup>2</sup>, Steffen Zeiler <sup>1</sup>, Rahim Saeidi <sup>3</sup>, Pejman Mowlaee <sup>1</sup>, João Paulo da Silva Neto <sup>2</sup>, Rainer Martin <sup>1</sup>

<sup>1</sup> Institute of Communication Acoustics (IKA) Ruhr-Universität Bochum
<sup>2</sup> Spoken Language Laboratory, INESC-ID, Lisbon
<sup>3</sup> School of Computing, University of Eastern Finland



# **Overview**

- Uncertainty-Based Approach to Robust ASR
- Uncertainty Estimation by Beamforming & Propagation
- Recognition under Uncertain Observations
- Further Improvements
  - Training: Full-covariance Mixture Splitting
  - Integration: Rover
- Results and Conclusions



- Speech enhancement in time-frequency-domain is often very effective.
- However, speech enhancement itself can neither
  - remove all distortions and sources of mismatch completely
  - nor can it avoid introducing artifacts itself





How can decoder handle such artificially distorted signals?

One possible compromise:



Problem: Recognition performs significantly better in other domains, such that missing feature approach may perform worse than feature reconstruction [1].

[1] B. Raj and R. Stern: "Reconstruction of Missing Features for Robust Speech Recognition", Speech Communication 43, pp. 275-296, 2004.



Solution used here:

Transform uncertain features to desired domain of recognition





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- Posterior estimation here is performed by using one of four beamformers:
  - Delay and Sum (DS)
  - Generalized Sidelobe Canceller (GSC) [2]
  - Multichannel Wiener Filter (WPF)
  - Integrated Wiener Filtering with Adaptive Beamformer (IWAB) [3]

[2] O. Hoshuyama, A. Sugiyama, and A. Hirano, "A robust adaptive beamformer for microphone arrays with a blocking matrix using constrained adaptive filters," IEEE Trans. Signal Processing, vol. 47, no. 10, pp. 2677 –2684, 1999.

[3] A. Abad and J. Hernando, "Speech enhancement and recognition by integrating adaptive beamforming and Wiener filtering," in Proc. 8th International Conference on Spoken Language Processing (ICSLP), 2004, pp. 2657–2660.





- Posterior of clean speech, p(X<sub>kl</sub> | Y<sub>kl</sub>), is then propagated into domain of ASR
- Feature Extraction
  - STSA-based MFCCs
  - CMS per utterance
  - possibly LDA





Uncertainty model:

**Complex Gaussian distribution** 





- Two uncertainty estimators:
- a) Channel Asymmetry Uncertainty Estimation
  - Beamformer output input to Wiener filter
  - Noise variance estimated as squared channel difference
  - Posterior directly obtainable for Wiener filter [4]:

$$\lambda_{\mathbf{D}} = \mathrm{DFT}\{(m_L(n) - m_R(n))^2\}$$
$$p(X_{kl}|Y_{kl}) = \mathcal{N}\left(\frac{\lambda_{X_{kl}}}{\lambda_{D_{kl}} + \lambda_{X_{kl}}}Y_{kl}, \frac{\lambda_{X_{kl}}\lambda_{D_{kl}}}{\lambda_{D_{kl}} + \lambda_{X_{kl}}}\right)$$

[4] R. F. Astudillo and R. Orglmeister, "A MMSE estimator in mel-cepstral domain for robust large vocabulary automatic speech recognition 11 using uncertainty propagation," in Proc. Interspeech, 2010, pp. 713–716.





Two uncertainty estimators:

#### b) Equivalent Wiener variance

 Beamformer output directly passed to feature extraction

$$p(X_{kl}|Y_{kl}) = \mathcal{N}\left(Y_{kl}, \tilde{\lambda}_{kl}\right)$$

 Variance estimated using ratio of beamformer input and output, interpreted as Wiener gain

<sup>[4]</sup> R. F. Astudillo and R. Orglmeister, "A MMSE estimator in mel-cepstral domain for robust large vocabulary automatic speech recognition 12 using uncertainty propagation," in Proc. Interspeech, 2010, pp. 713–716.



# **Uncertainty Propagation**

- Uncertainty propagation from [5] was used
  - Propagation through absolute value yields MMSE-STSA
  - Independent log normal distributions after filterbank assumed



- Posterior of clean speech in cepstrum domain assumed Gaussian
- CMS and LDA transformations simple

[5] R. F. Astudillo, "Integration of short-time Fourier domain speech enhancement and observation uncertainty techniques for robust automatic speech recognition," Ph.D. thesis, Technical University Berlin, 2010.



# **Recognition under Uncertain Observations**

Standard observation likelihood for state q mixture m:

 $p(x \mid \mu_{q,m}, \Sigma_{q,m}) = N(x; \mu_{q,m}, \Sigma_{q,m})$ 

Uncertainty Decoding:

$$p(\mu_x|\mu_{q,m}, \Sigma_{q,m}, \Sigma_x) = N(\mu_x; \mu_{q,m}, \Sigma_{q,m} + \Sigma_x)$$

L. Deng, J. Droppo, and A. Acero, "Dynamic compensation of HMM variances using the feature enhancement uncertainty computed from a parametric model of speech distortion," IEEE Trans. Speech and Audio Processing, vol. 13, no. 3, pp. 412–421, May 2005.

Modified Imputation:

$$p(\mu_x | \mu_{q,m}, \Sigma_{q,m}, \Sigma_x) = \mathcal{N}(\hat{x}; \mu_{q,m}, \Sigma_{q,m})$$
  
with  $\hat{x} = (\Sigma_{q,m} + \Sigma_x)^{-1} (\Sigma_{q,m} \mu_x + \Sigma_x \mu_{q,m})$ 

D. Kolossa, A. Klimas, and R. Orglmeister, "Separation and robust recognition of noisy, convolutive speech mixtures using time-frequency masking and missing data techniques," in Proc. Workshop on Applications of Signal Processing to Audio and Acoustics (WASPAA), Oct. 2005, pp. 82–85.

Both uncertainty-of-observation techniques collapse to standard observation likelihood for  $\Sigma_x = 0$ .



- Training: Informed Mixture Splitting
  - Baum-Welch Training is only optimal locally -> good initialization and good split directions matter.
  - Therefore, considering covariance structure in mixture splitting is advantageous:





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- Integration: Recognizer output voting error reduction (ROVER)
  - Recognition outputs at word level are combined by dynamic programming on generated lattice, taking into account
    - the frequency of word labels and
    - the posterior word probabilities
  - We use ROVER on 3 jointly best systems selected on development set.

J. Fiscus, "A post-processing system to yield reduced word error rates: Recognizer output voting error reduction (ROVER)," in IEEE Workshop on Automatic Speech Recognition and Understanding, Dec. 1997, pp. 347–354.



- Evaluation:
  - Two scenarios are considered, clean training and multicondition (,mixed') training.
  - In mixed training, all training data was used at all SNR levels, artifically adding randomly selected noise from noise-only recordings.
  - Results are determined on the development set first.
  - After selecting the best performing system on development data, final results are obtained as *keyword accuracies* on the *isolated sentences* of the *test set*.



#### JASPER Results after clean training

	-6dB	-3dB	OdB	3dB	6dB	9dB
Clean: Official Baseline	30.33	35.42	49.50	62.92	75.00	82.42
JASPER* Baseline	40.83	49.25	60.33	70.67	79.67	84.92

\* JASPER uses full covariance training with MCE iteration control. Token passing is equivalent to HTK.



#### JASPER Results after clean training

	-6dB	-3dB	OdB	3dB	6dB	9dB
Clean: Official Baseline	30.33	35.42	49.50	62.92	75.00	82.42
JASPER Baseline	40.83	49.25	60.33	70.67	79.67	84.92
JASPER + BF* + UP	54.50	61.33	72.92	82.17	87.42	90.83

\* Best strategy here:

Delay and sum beamformer + noise estimation + modified imputation



#### HTK Results after clean training

	-6dB	-3dB	OdB	3dB	6dB	9dB
Clean: Official Baseline	30.33	35.42	49.50	62.92	75.00	82.42
HTK + BF* + UP	42.33	51.92	61.50	73.58	80.92	88.75

\* Best strategy here:

Wiener post filter + uncertainty estimation



#### Results after clean training

	-6dB	-3dB	<b>OdB</b>	3dB	6dB	9dB
Clean: Official Baseline	30.33	35.42	49.50	62.92	75.00	82.42
HTK + BF + UP	42.33	51.92	61.50	73.58	80.92	88.75
HTK + BF* + UP + MLLR	54.83	65.17	74.25	82.67	87.25	91.33

\* Best strategy here:

Delay and sum beamformer + noise estimation



#### Overall Results after clean training

	-6dB	-3dB	<b>OdB</b>	3dB	6dB	9dB
Clean: Official Baseline	30.33	35.42	49.50	62.92	75.00	82.42
JASPER Baseline	40.83	49.25	60.33	70.67	79.67	84.92
JASPER + BF + UP	54.50	61.33	72.92	82.17	87.42	90.83
HTK + BF + UP	42.33	51.92	61.50	73.58	80.92	88.75
HTK + BF + UP + MLLR	54.83	65.17	74.25	82.67	87.25	91.33
ROVER (JASPER + HTK )*	57.58	64.42	76.75	86.17	88.58	92.75

\* (JASPER +DS + MI) & (HTK+GSC+NE) & (JASPER+WPF+MI)



#### JASPER Results after multicondition training

	-6dB	-3dB	<b>OdB</b>	3dB	6dB	9dB
Multicondition: HTK Baseline	63.00	72.67	79.50	85.25	89.75	93.58
JASPER Baseline	64.33	73.08	81.75	85.67	89.50	91.17



#### JASPER Results after multicondition training

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JASPER + BF* + UP	73.92	79.08	86.25	89.83	91.08	93.00

\* best JASPER setup here: Delay and sum beamformer + noise estimation + modified imputation + LDA to 37d  $^{\rm 25}$ 



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JASPER + BF* + UP	73.92	79.08	86.25	89.83	91.08	93.00
as above, but 39d	+0.58%	-0.25%	-2.16%	-1.41%	-2.0%	-0.5%

\* best JASPER setup here: Delay and sum beamformer + noise estimation + modified imputation + LDA to 37d  $^{\rm 26}$ 



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HTK + BF* + UP	67.92	77.75	84.17	89.00	91.00	92.75

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HTK + BF + UP	67.92	77.75	84.17	89.00	91.00	92.75
HTK + BF* + UP + MLLR	68.25	79.75	84.67	89.58	91.25	92.92

\* best HTK setup here: Delay and sum beamformer + noise estimation



#### Overall Results after multicondition training

	-6dB	-3dB	<b>OdB</b>	3dB	6dB	9dB
Multicondition: HTK Baseline	63.00	72.67	79.50	85.25	89.75	93.58
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HTK + BF + UP + MLLR	68.25	79.75	84.67	89.58	91.25	92.92
ROVER (JASPER + HTK )*	74.58	80.58	87.92	90.83	92.75	94.17

\* (JASPER +DS + MI + LDA ) & (JASPER+WPF, no observation uncertainties) & (HTK+DS+NE)



- Conclusions
  - Beamforming provides an opportunity to estimate not only the clean signal but also its standard error.
  - This error the observation uncertainty can be propagated to the MFCC domain or an other suitable domain for improving ASR by uncertainty-of-observation techniques.
  - Best results were attained for uncertainty propagation with modified imputation.
  - Training is critical, and despite strange philosophical implications, observation uncertainties improve the behaviour after multicondition training as well.
  - Strategy is simple & easily generalizes to LVCSR.



# Thank you !



- Training: MCE-Guided Training
  - Iteration and splitting control is done by minimum classification error (MCE) criterion on held-out dataset.
  - Algorithm for mixture splitting:
    - initialize split distance d
    - while m < numMixtures</p>
      - split all mixtures by distance d along 1st eigenvector
      - carry out re-estimations until accuracy improves no more
      - if acc<sub>m</sub> >= acc<sub>m-1</sub>
        - *m* = *m*+1
      - else
        - go back to previous model
        - *d* = *d*/*f*